



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ne Application of:

THOMAS W. MOSSBERG

Application No.: 09/811,081

Filed: March 16, 2001

HOLOGRAPHIC SPECTRAL FILTER For:

Art Group: 2872

Examiner: Amari, Alessandro V.

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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11/13/2002 WABDELR1 00000076 09811081

5455P001

The references were cited in a Search Report dated August 22, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LD

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Gregory D. Valdwell, Reg. No. 39,926

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

Date: November 07, 2002

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November 07, 2002

Date

PTO/SB/21 (08-06)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application No. 09/811,081 Filing Date March 16, 2001 Thomas W. Mossberg First Named Inventor Group Art Unit 2872 **Examiner Name** Amari, Alessandro V. 5455P001 Attorney Docket Number

ENCLOSURES (check all that apply)								
×	Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group		
	Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences		
Amendment / Response		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief,			
	_	After Final Affidavits/declaration(s)		Petition		Proprietary Information		
Extension of Time Request		Petition to Convert a Provisional Application			Status Letter			
Express Abandonment Request			Power of Attorney, Revocation Change of Correspondence Address	⊠	Other Enclosure(s) (please identify below):			
		Terminal Disclaimer			-Copies of 9 cited references -Check for \$180.00 for fees			
Certified Copy of Priority Document(s)		Request for Refund			-Return Receipt Postcard			
Response to Missing Parts/ Incomplete Application		CD, Number of CD(s)						
Response to Missing Parts under 37 CFR 1.52 or 1.53		Rem	narks		NOV 14 2012 2800 MAIL FOO			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firr								
	Individual name BLAKELY, SOKOLOFF TAYLOR & ZAFMAN LLP				LLP			
Sig	Signature							
Dat	Date November 7, 2002							

CERTIFICATE OF MAILING/TRANSMISSION

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Signature	/ الجبرا	Date	November 7, 2002

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PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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E TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known				
Application Number	09/811,081			
Filing Date	March 16, 2001			
First Named Inventor	Thomas W. Mossberg			
Examiner Name	Amari, Alessandro V.			
Group/Art Unit	2872			
Attorney Docket No.	5455P001			

METHOD OF PAYMENT (check one)				FEI	E CALCULATION (continued)
Money ☐ Other ☐ None	3. A	DDITIO	NAL	FEES	S
Deposit Account	Large	e Entity	Smal	II Entity	·
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid
Account 02-2666		130		65	Fee Description Fee Paid Surcharge - late filing fee or oath
Number	1051 1052	50	2051 2052	25	Surcharge - late provisional filing fee or
Account Blakely, Sokoloff, Taylor & Zafman LLP		420		120	cover sheet.
The Commissioner is authorized to: (check all that apply)	2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification For filing a request for ex parte reexamination
Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920 *	
Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20	1805	1,840 *	1805	1,840 *	Examiner action Requesting publication of SIR after
Charge fee(s) indicated below, except for the filing fee	1803	1,040	1803	1,040	Everyings action
to the above-identified deposit account	1251	110	2251	55	Extension for reply within first month Extension for reply within second month Extension for reply within third month Extension for reply within fourth month Extension for reply within fifth month Notice of Appeal Filing a brief in support of an appeal
FEE CALCULATION	1252	400	2252	200	Extension for reply within second month
1. BASIC FILING FEE	1253	920	2253	460	Extension for reply within third month
Large Entity Small Entity	1254	1,440	2254	720	Extension for reply within fourth month
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1255	1,960	2255	980	Extension for reply within fifth month R 2
1001 740 2001 370 Utility filing fee	1404	320	2401	160	Notice of Appeal
1002 330 2002 165 Design filing fee	1402	320	2402	160	
1003 510 2003 255 Plant filing fee	1403	280	2403	140	Request for oral hearing
1004 740 2004 370 Reissue filing fee	1451	1,510		1,510	Petition to institute a public use proceeding
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable
SUBTOTAL (1) (\$)	1453	1,280	2453	640	Petition to revive - unintentional
2 EVTDA CLAIM EFFC	1501 1502	1,280 460	2501 2502	640 230	Utility issue fee (or reissue) Design issue fee
2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid	1503	620	2503	310	Plant issue fee
Total Claims	1460	130	2460	130	Petitions to the Commissioner
Independent Salar No. 1 Salar	1807	50	1807		Processing fee under 37 CFR 1.17(q)
Multiple Dependent	1806	180	1806	180	Submission of Information Disclosure Stmt 180.00
Large Entity Small Entity	8021	40	8021	40	Recording each patent assignment per
Fee Fee Fee Fee Description	1				property (times number of properties)
Code (\$) Code (\$)	1809	740	1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))
1202 18 2202 9 Claims in excess of 20	1810	740	2810	370	For each additional invention to be
1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple Dependent claim, if not paid	,,,,	, ,,	20.0	0,0	examined (37 CFR § 1.129(b))
1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims over	1801	740	2801	370	Request for Continued Examination (RCE)
original patent	1802	900	1802	900	Request for expedited examination of a design application
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	Other fe	ee (specify)	ļ		or a design apprication
SUBTOTAL (2) (\$)	*Reduced	d by Basic Filir	ng Fee Pa	aid	SUBTOTAL (3) (\$) 180.00
**Or number previously paid, if greater, For Reissues, see below					
SUBMITTED BY Complete (if applicable) Name (Print Trans) Crossovy D. Coldwell A MRegistration No. 20 026 Talantara (503) 684-6200					
Name (Print/Type) Gregory D. Caldwell		egistratio ttorney/Age		3	39,926 Telephone (503) 684-6200
Signature DEA	W_	$\overline{/}$			Date 11/07/02

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